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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/511.937 Filing Date (Intl.) April 24, 2003 Jay WOHLGEMUTH First Named Inventor Art Unit 1634 Examiner Name J. Martinell Attorney Docket Number 506612000104

(Use as many sheets as necessary) of 2

Number-Kind Code<sup>2</sup> (if known)

1

Sheet

Examiner

Initials\*

U.S. PATENT DOCUMENTS Document Number Pages, Columns, Lines, Where

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Publication Date

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		FOREIG	ON PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
	1.	WO-97/16568-A1	05-09-1997	Bowie, L. J.		П
	2.	WO-00/58473-A2	10-05-2000	Curagen Corporation		
	3.	WO-01/57182-A2	08-09-2001	Human Genome Sciences, Inc.		П
	4.	WO-02/057414-A2	07-25-2002	Biocardia, Inc.		П

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		NON PATENT LITERATURE DOCUMENTS	
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	5.	DIETZ, A. B. et al. (2000). "Maturation of Human Monocyte-Derived Dendritic Cells Studied by Microarray Hybridization," <i>Biochemical and Biophysical Research Communications</i> 275:731-738.	
	6.	EMBL-EBI Accession No. AA053887, last updated August 31, 2006, located at <a href="http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=AA053887&amp;style=raw&gt;visited on October 31, 2007. (4) pages.">http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=AA053887&amp;style=raw&gt;visited on October 31, 2007. (4) pages.</a>	
	7.	EMBL-EBI Accession No. AAC77576, located at <a href="http://www.ebi.ac.uk/cgi-bin/dbfetch?db=emblcds&amp;id=AAC77576&amp;style=raw">http://www.ebi.ac.uk/cgi-bin/dbfetch?db=emblcds&amp;id=AAC77576&amp;style=raw</a> visited on October 31, 2007. (1 page).	
	8.	EMBL-EBI Accession No. AAK80490, located at <a href="http://www.ebi.ac.uk/cgi-bin/dbfetch?db=emblcds&amp;id=AAK80490&amp;style=raw">http://www.ebi.ac.uk/cgi-bin/dbfetch?db=emblcds&amp;id=AAK80490&amp;style=raw</a> visited on October 31, 2007. (1 page).	
	9.	EMBL-EBI Accession No. AI775145, last updated June 21, 2002, located at <a href="http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=AI755145&amp;style=raw&gt;visited on October 31, 2007. (2 pages).">http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=AI755145&amp;style=raw&gt;visited on October 31, 2007. (2 pages).</a>	Π
	10.	EMBL-EBI Accession No. AK000354, last updated September 12, 2006, located at <a href="http://www.ebi.cu.k/cgi-bin/dbfetch?db=embl&amp;id=AK000354&amp;style=raw&gt;visited on October 31, 2007">http://www.ebi.cu.k/cgi-bin/dbfetch?db=embl&amp;id=AK000354&amp;style=raw&gt;visited on October 31, 2007</a> , (3 pages).	
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	12.	EMBL-EBI Accession No. AW969353, last updated June 8, 2000, located at <a href="http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl8id=AW9693538style=raw&gt;visited on October 31, 2007">http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl8id=AW9693538style=raw&gt;visited on October 31, 2007</a> . (1 page).	
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14.	EMBL-EBI Accession No. L26474, last updated January 9, 2007, located at <a href="http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=L26474&amp;style=raw">http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=L26474&amp;style=raw</a> visited on October 31, 2007. (6 pages).	
15.	EMBL-EBI Accession No. M23068, last updated November 14, 2006, located at <a href="http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=M23068&amp;style=raw">http://www.ebi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=M23068&amp;style=raw</a> visited on October 31, 2007. (2 pages).	
16.	EMBL-EBI Accession No. V00497, last updated November 20, 2004, located at <a href="http://www.obi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=V00497&amp;style=raw">http://www.obi.ac.uk/cgi-bin/dbfetch?db=embl&amp;id=V00497&amp;style=raw</a> visited on October 31, 2007. (5 pages).	
17.	FINGER, L. R. et al. (1997). "The Human PD-1 Gene: Complete cDNA, Genomic Organization, and Developmentally Regulated Expression in B cell Progenitors," Gene 197:177-187.	
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19.	GORCYNSKI, R. M. (1996). "Correlation of Peripheral Blood Lymphocyte and Intragraft Cytokine mRNA Expression with Rejection in Orthotopic Liver Transplantation," Surgery 120(3):496-502.	
20.	GRIFFITHS, G. M. et al. (1991). "Granzyme A and Perforin as Markers for Rejection in Cardiac Transplantation," European Journal of Immunology 21:687-692.	П
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22.	JOULIN, V. et al. (October 25, 1988). "Isolation and Characterization of the Human 2,3- Bisphosphoglycerate Mutase Gene," The Journal of Biological Chemistry 263(30):15785- 15790.	
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25.	Supplementary European Search Report mailed October 18, 2007, for EP Application No. 03799755.8 filed April 24, 2003, 17 pages.	
26.	TAMAYO, P. et al. (March 1999). "Interpreting Patterns of Gene Expression with Self- Organizing Maps: Methods and Application to Hematopoletic Differentiation," Proceedings of the National Academy of Sciences 96:2907-2912.	
27.	WILLEMS, R. et al. (May 29, 1998). "Decrease in Nucleoside Diphosphate Kinase (NDPK/mn23) Expression During Hematopoletic Maturation," <i>The Journal of Biological Chemistry</i> 273(22):13663-13668.	

<sup>\*</sup>EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	U.S. PATENT DOCUMENTS					
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	FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Foreign Patern Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kirk Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patrintee or Applicant of Cifed Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear T <sup>6</sup>

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	1.	DIETZ, A. B. et al. (2000). "Matty ation of Human Monocyte-Derived Dendritic Cells Studied by Microarray Hybridization," <i>Biomemical and Biophysical Research Communications</i> 275:731-738.	
	2.	FINGER, L. R. et al. (1997). "The Human PD-1 Gene Complete cDNA, Genomic Organization, and Developmentally Regulated Expression in B cell Progenitors," Gene 197:177-182.	
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is affeched.

Examiner Signature	/James Martinell/	Date Considered	03/13/2008
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Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nd to a collection of information unless it displays a valid OMB control number.

#### Application Number 10/511,937 Filing Date **TRANSMITTAL** April 24, 2003

# **FORM**

First Named Inventor Jay WOHLGEMUTH Art Unit 3700 (to be used for all correspondence after initial filling) Examiner Name Not Yet Assigned Attorney Docket Number 6+10 Total Number of Pages in This Submission 506612000104 Refs.

Fee Transmittal Form		ENCLOSURES (Check all that apply)			
Amendment/Reply  Amendment/Reply  After Final  Petition to Convert to a Priorisional Application  After Final  After Final  Provisional Application  Power of Attomey, Revocation Charge of Correspondence Address  Extension of Time Request  Express Abandonment Request  Request for Refund  CD, Number of CD(s)  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts under  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Film Name  MORRISON & FOERSTER LLP, Customer No. 20872  MAppeals and Interferences  Appeals amministration to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Chere Enclosure(s) (please letently below.  PTO/SB/08a/b Form - 2 pages 10 References Return Receipt Postcard  Receipt Postcard	Fee Trans	smittal Form	Drawing(s)		
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an envelope addressed to: Commissione	s being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in r for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.
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Dated: February 10, 2006

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Patent Docket No. 506612000104

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Jay WOHLGEMUTH et al. Examiner: Not Yet Assigned

Serial No.: 10/511,937

Group Art Unit: 3700

Filing Date: April 24, 2003

1 mmg Date: 11pm 21, 2005

For: METHODS AND COMPOSITIONS FOR DIAGNOSING AND MONITORING

TRANSPLANT REJECTION

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

With the application; accordingly, no fee or separate requirements are required.

Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

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	Action	n on the merits; accordingly, no fee or separate requirements are required.				
	Howe	ver, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.				
	After	receipt of a first Office Action on the merits but before mailing of a final Office Action				
	or Not	tice of Allowance.				
		A fee is required. A check in the amount of is enclosed.				
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to				
		this submission in duplicate.				
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		believed to be due.				
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the				
	issue	fee.				
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		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal				
		form (PTO/SB/17 is attached to this submission in duplicate.)				

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing docket no. <u>506612000104</u>.

Dated: February 10, 2006

Respectfully submitted,

By T

Registration No.: 48,751

MORRISON & FOERSTER LLP

425 Market Street San Francisco, California 94105-2482

(415) 268-6846

Complete If Known Substitute for form 1449/PTO 10/511.937 Application Number INFORMATION DISCLOSURE Filing Date April 24, 2003 Jay WOHLGEMUTH STATEMENT BY APPLICANT First Named Inventor Art Unit Not Yet Assigned (Use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 1 of 2 Attorney Docket Number 506612000104

	U.S. PATENT DOCUMENTS							
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	FOREIGN PATENT DOCUMENTS							
				Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	70		
	1.	3G-200302150-0 WO02/057414A2		Expression Diagnostics, Inc.				

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	2.	Australian Search Report mailed October 7, 2005, for Singapore patent application no. SG 200406287-3, filed April 24, 2003, 2 pages				
	3.	BAKKE, Antony C. et al., (2001) "Neutrophil CD64 expression Distinguishing acute inflammatory autoimmune disease from systemic infections," Clinical and Applied Immunology Reviews, 1: 267-275				
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9.	SHIN, Young Kee et al., (April 2001) "Expression of Leukemia- Associated antigen, JL1, in Bone Marrow and Thymus," American Journal of Pathology, 158(4): 1473-1480	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Signature		Considered	03/13/2006
sf- 2071335			

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Reply to Missing Parts/ incomplete Application  Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks					
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Printed name Otis Littlefield						
Date June 27, 2007	Reg. No.	48,751				

I hereby certify that this paper is being deposted with the U.S. Postal Service on the date shown below in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: June 27, 20

Signature: VOLLEGO CORS (Valerie Cone

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Dated: June 27, 2007

Signature: Valerie Cole (Valerie Col

Patent

Docket No. 506612000104

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Jay WOHLGEMUTH et al.

Serial No.: 10/511,937

Filing Date: July 22, 2005

For: METHODS AND COMPOSITIONS FOR DIAGNOSING AND MONITORING

TRANSPLANT REJECTION

Examiner: Not Yet Assigned

Group Art Unit: 3700

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

With the application; accordingly, no fee or separate requirements are required.
Before the mailing of a first Office Action after the filing of a Request for Continued
Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.9
(e)(1) has been provided.

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Within three months of the application filing date or before mailing of a first Office

Actio	on on the merits; accordingly, no fee or separate requirements are required.
How	ever, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
After	receipt of a first Office Action on the merits but before mailing of a final Office Action
or No	otice of Allowance.
	A fee is required. A check in the amount of is enclosed.
	A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to
	this submission in duplicate.
	A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is
	believed to be due.
After	mailing of a final Office Action or Notice of Allowance, but before payment of the
issue	fee.
	A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the
	amount of is enclosed.
	A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal
	form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other

fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing 506612000104.

Dated: June 27, 2007

Respectfully submitted,

By // \ \ (Reg. NJ. 44,417)f.

Otis Littlefield

Registration No.: 48,751 MORRISON & FOERSTER LLP 425 Market Street

San Francisco, California 94105-2482

(415) 268-6846



Complete if Known Substitute for form 1449/PTO Application Number 10/511.937 Filing Date July 22, 2005 INFORMATION DISCLOSURE First Named Inventor Jay WOHLGEMUTH STATEMENT BY APPLICANT 3700 Art Unit (Use as many sheets as necessary) Examiner Name Not Yet Assigned 1 Attorney Docket Number 506612000104 1 of

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

		FOREI	GN PATENT DO	CUMENTS		
Examiner	Cite	· Foreign Patent Document	Publication Date	Name of Patentee or	Peges, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		T <sup>6</sup>
	1.	WO-94/23023-A1	10-13-1994			Γ
	2.	WO-00/04191	01/2000			
	3.	WO-00/12753	03/2000			
	4.	WO-00/23573-A3	04/2000			
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	9.	WO-00/73498-A1	12/2000			
	10.	WO-00/78808-A1	12/2000			
	11.	WO-01/20004	03/2001			Г
	12.	WO-01/23426	04/2001			
	13.	WO-01/23564	04/2001			1

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NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Include name of the author (in CAPITAL LETTERS), little of the article (when appropriate), title of the item (book, magazine, journal, senal, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and country where published.						
	14.	WEAST, Ed. (1968). Handbook of Chemistry and Physics, 49th Ed.,	Г			
		The Chemical Rubber Co.: Cleveland Ohio, pg. A-245.				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citetion if not in conformance end not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/James Martinell/	Date Considered	03/13/2008
sf-217358	5		



DOCKET: 506612000104

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 990 376 535 US, in an envelope addressed to: Commissioner for Patents, MAIL STOP: PCT/DO/EC/IUS, P.O. Box 1450, Alexandria, VA 22313-1450, on the date \$400m below.

Dated: July , 2005 Signature: (EILIA OLSEN)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Jay WOHLGEMUTH et al.

Serial No.: 10/511,937

Filing Date: April 24, 2003 (PCT/US03/12946)

For:

METHODS AND COMPOSITIONS FOR DIAGNOSING AND MONITORING TRANSPLANT REJECTION

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS: PCT/DO/EO/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related applications, Serial Number Nos. 10/131,831 filed April 24, 2002 and 10/325,899 filed December 20, 2002, and, accordingly, copies are not included herewith. This

Appln. Serial No. 10/511,937 Information Disclosure Statement

protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

	This Inf	formation Disclosure Statement is submitted:
	With	the application; accordingly, no fee or separate requirements are required.
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued
	Exam	ination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97
	(e)(1)	has been provided.
$\boxtimes$	Withi	in three months of the application filing date or before mailing of a first Office
	Actio	n on the merits; accordingly, no fee or separate requirements are required.
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		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to
		this submission in duplicate.
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The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

#### Appln. Serial No. 10/511,937 Information Disclosure Statement

3

Docket No: 506612000104

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing <a href="5page-506612000104">5page-506612000104</a>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 22, 2005

Respectfully submitted,

Michael R. Ward

Registration No.: 38,651 MORRISON & FOERSTER LLP

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(415) 268-6237

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 16

Complete if Known				
Application Number	10/511,937			
illing Date	April 24, 2003			
irst Named Inventor	Jay WOHLGEMUTH			
Art Unit	Not Yet Assigned			
xaminer Name	Not Yet Assigned			
Attorney Docket Number	506612000104	_		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	4,190,535	2/26/1980	Luderer et al.	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 16

Complete if Known				
Application Number	10/511,937			
iling Date	April 24, 2003			
First Named Inventor	Jay WOHLGEMUTH			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned	_		
Attorney Docket Number	506612000104			

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Examiner Signature	/James Martinell/	Date Considered	03/13/2008
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Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known					
Application Number	10/511,937				
Filing Date	April 24, 2003				
First Named Inventor	Jay WOHLGEMUTH				
Art Unit	Not Yet Assigned				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	506612000104				

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Examiner Signature	/James Martinell/	Date Considered	03/13/2008
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	4	of	16

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	Complete if Known					
Application Number	10/511,937					
Filing Date	April 24, 2003					
First Named Inventor	Jay WOHLGEMUTH	_				
Art Unit	Not Yet Assigned					
Examiner Name	Not Yet Assigned					
Attorney Docket Number	506612000104					

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Examiner	/James Martinell/	Date	03/13/2008
Signature		Considered	

Complete if Known

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Substitute for form 1449/PTO

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### INFORMATION DISCLOSURE STAT

1 DI	SCLOSURE	Filing Date	April 24, 2003
3Y /	APPLICANT	First Named Inventor	Jay WOHLGEMUTH
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			Not Yet Assigned
of	16	Attorney Docket Number	506612000104
	BY A	r	BY APPLICANT First Named Inventor Art Unit Examiner Name

Application Number

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148.	6,410,319 B1	6/25/2002	Raubitschek et al.	

Examiner Signature	/James Martinell/	Date Considered	03/13/2008
sf- 1835832			

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Sheet

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary) of

Complete if Known				
Application Number	10/511,937			
Filing Date	April 24, 2003			
First Named Inventor	Jay WOHLGEMUTH			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	506612000104			
Examiner Name	Not Yet Assigned			

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16

		FOREI	GN PATENT DO	CUMENTS		$\Box$
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	₽
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299.	Zhang, L. et al. (1997) "A New Interferon Regulatory Factor Associated with Epstein=Barr Virus Latency." Molecular and Cellular Biology, 17(10): 5748-5757.	
300.	Zucker, S. et al. (1999) "Increased serum stromelysin-1 levels in systemic lupus erythematosus: lack of correlation with disease activity." <i>J Rheumatol.</i> 26 (1): 78-80.	

\*EXAMINER: Initial if Information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	/James Martinell/	Date	03/13/2008
Signature		Considered	50.10.2000

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. APT & TRA Application Number 10/511,937 Filing Date TRANSMITTAL April 24, 2003 First Named Inventor **FORM** Jay WOHLGEMUTH Art Unit 3700 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket 5 + 5506612000104 Total Number of Pages in This Submission Number Refs ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of icensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to TC Amendment/Reply etition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address X Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): 1. PTO/SB/08a/b (1 page) Express Abandonment Request Request for Refund Information Disclosure Statement (3 2. 5 References CD, Number of CD(s) pages) 3. Return Receipt Postcard Certified Copy of Priority Document(s) Landscape Table on CD Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53

Firm Name MORRISON & FOERSTER LLP (Customer No. 20872) Signature Printed name Otis B. Littlefield Date Reg. No. August 14, 2007 48.751

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

I hereby certify that this paper is being deposited with the U.S. Postal Service via Express Mail Label No. EV513655195US to MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature: Valoue Colo Dated: August 14, 2007



Patent Docket No. 506612000104

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Jay WOHLGEMUTH et al.

Serial No.: 10/511,937

Filing Date: April 24, 2003

For: METHODS AND COMPOSITIONS FOR MONITORINGTRANSPLANT

DIAGNOSING AND

REJECTION

Examiner: Not Yet Assigned

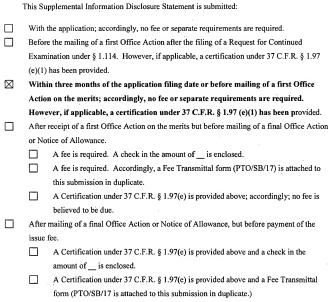
Group Art Unit: 3700

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.



Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to <a href="Deposit Account No. 03-1952">Deposit Account No. 03-1952</a> referencing 506612000104.

Dated: August 14, 2007

Respectfully submitted,

Otic Littlefield

Registration No.: 48,751

MORRISON & FOERSTER LLP

425 Market Street

San Francisco, California 94105-2482

(415) 268-6846

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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	ALIEMANTE TO TTO GOOD OF CONTRACT
	Complete if Known
Application Number	10/511,937
Filing Date	April 24, 2003
First Named Inventor	Jay WOHLGEMUTH
Art Unit	3700
Examiner Name	Not Yet Assigned
Attorney Docket Number	506612000104

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

		FOREIG	ON PATENT	DOCUMENTS		
Examinar	Cite	Foreign Patent Document	Publication	Name of Patantee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Ralevant Passages or Relevant Figures Appear	
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EXAMINER: Initial is information considered, whether or not clasion is in conformance with MEPE 609. Draw line through citation is not in conformance and not considered, include copy of this form with next communication to applicant. "Applicants unique clatifion designation number (opticnes). "See Kinds Codes of USPTO Potant Once and the season due to document, by the horistent cost (MPVPO Standard ST.)." A "Time of Code has because the adocument, by the horistent cost (MPVPO Standard ST.) and "Standard ST. of the personal potant documents, but indication of the year of the enging of the improvement protected the sential number of the patient document." Not of document by the personal patient documents. When the document used the personal patient documents are document of the personal patient document. The control of the personal patient documents are document of the personal patient document. The control patient document of the personal patient documents are document of the personal patient documents." The personal patient document of the personal patient documents are document of the personal patient documents. The personal patient documents are document of the personal patient documents are documents. The personal patient documents are documents are documents are documents. The personal patient documents are documents are documents are documents. The personal patient documents are documents are documents are documents. The personal patient documents are documents are documents are documents are documents. The personal patient documents are documents are documents are documents are documents. The personal patient documents are documents are documents are documents are documents. The personal patient documents are documents are documents are documents are documents. The personal patient documents are documents are documents are documents are documents. The personal patient documents are documents are documents are documents are documents are documents are documents. The personal patient documents are documents Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	1.	Alizadeh, A. A. et al. (2000). "Genomic-Scale Gene Expression Profiling of Normal and Malignant Immune Cells," Current Opinion in Immunology 12:219-225.	
	2.	Glynne, R. et al. (2000). "B-Lymphocyte Quiescence, Tolerance and Activation as Viewed by Global Gene Expression Profiling on Microarrays," <i>Immunological Reviews</i> 176:216-246.	Г
	3.	Glynne, R. J. et al. (2000). "Genomic-Scale Gene Expression Analysis of Lymphocyte Growth, Tolerance and Malignancy," Current Opinion in Immunology 12:210-214.	Г
	4.	Marrack, P. et al. (2000). "Genomic-Scale Analysis of Gene Expression in Resting and Activated T Cells," Current Opinion in Immunology 12:208-209.	
-	5.	Supplemental Partial European Search Report mailed July 9, 2007, for EP Application No. 01997055.7 filed October 22, 2001, 6 pages.	Г

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	/James Martinell/	Date	03/13/2008
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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NAME:	FACSIMILE:
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FROM:

Otis B. Littlefield. Reg. No. 48,751

DATE:

November 23, 2005

Number of pages 9 with cover page:
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ATTORNEY DOCKET NO.: 506612000104

SERIAL NO.: 10/511.937

FILING DATE: October 19, 2004

INVENTOR(S): Jay WOHLGEMUTH et al.

TITLE: METHODS AND COMPOSITIONS FOR DIAGNOSING AND MONITORING TRANSPLANT REJECTION

**EXAMINER:** Not Yet Assigned

GROUP ART UNIT: Not Yet Assigned

Papers attached herewith: .

- Transmittal 1 pg.
- 2. Information Disclosure Statement 3 pgs.
- PTO/SB/08a/b 1 pg.
- One Cited Reference 3 pgs.

sf-2039713

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Firm Name	MURRISON & POE	RSTER LLP, CL	stomer No. 20872			
Signature						
Printed name	Otis B. Littlefield					
Date	November 23, 2005		Reg. No.	48	1,751	

I hereby certify that this correspondence	s is being faceimite transmitted topine Patent and Trademark Office to fax no. 571-273-8300, on
the date shown below.  Dated: Nevember 23, 2005	- fruex ex
0540, NOVERIDE 23, 2005	(Leura Teang)

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Dated: November 23, 2005

Patent Docket No. 506612000104

P. 3

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Jay WOHLGEMUTH et al.

Serial No.: 10/511.937

Filing Date: October 19, 2004

For: METHODS AND COMPOSITIONS FOR DIAGNOSING AND MONITORING

TRANSPLANT REJECTION

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sire

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO/SB/08a/b were cited in an INTERNATIONAL SEARCH REPORT mailed on September 23, 2005, directed to a counterpart international or foreign application and have not been previously cited. A certification under 37 C.F.R. § 1.97(e)(1) follows:

sf-2038309

believed to be due.

I hereby certify that each item of information was first cited in any communication from

Docket No. 506612000104

a foreign patent office in a counterpart foreign application not more than three months prior to the filling of this Information Disclosure Statement.

This Supplemental Information Disclosure Statement is submitted:

With the application; accordingly, no fee or separate requirements are required.

Before the mailing of a first Office Action after the filling of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97

(e)(1) has been provided.

Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided. After receipt of a first Office Action on the ments but before mailing of a final Office Action or Notice of Allowance.

A fee is required. A check in the amount of \_\_ is enclosed.

A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is

After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.

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A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

sf-2038309

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Application No. 10/511,937 Supplemental Information Disclosure Statement

1

Docket No. 506612000104

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

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Dated: November 23, 2005

Respectfully submitted.

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ALTERNATIVE TO PTO/SB/081/6 (07-05)

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INFORMATION DISCLOSURE				Filing Date	October 19, 2004
STATEMENT BY APPLICANT				First Named Inventor	Jay WOHLGEMUTH
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U.S. PATENT DOGUMENTS					
Examiner Initiats*	Cite No.	Document Number Number-Kind Code* (If known)	Publication Date NWI-DD-YYYY	Name of Patentse or Applicant of Billed Document	Pagas, Columns, Linsa, Where Relevant Passages or Relevant Figures Appear
	1.	US-5,120,525	06-09-1992	Goldenberg	

FOREIGN PATENT DOCUMENTS						
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		NON PATENT LITERATURE DOCUMENTS:	
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	2.	International Search Report mailed September 23, 2005, for PCT application no. PCT/US03/12946, filed April 24, 2003, 3 pages	

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Applicants unique citation designation number (optional). \*Applicant is to place a check mark here if English tenguage Translation is effected

Examiner	/James Martinell/	Date	03/13/2008
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